

**EXTERNAL AUDITS OF PACE
Completed in 2012**

Audit and Firm Name	Completion Date
FTA – Financial Management Oversight By Sam Collins, Brooks & Assoc., LLC & Deva & Assoc., PC	July 2012
FTA - Drug and Alcohol Compliance Program Review By FTA Office of Safety and Security - D&A Program Manager, Jerry Powers	May 2012
RTA - 2011 Executive Compensation Audit By RTA Consultant, Grant Thornton	May 2012
RTA - Reduced Fare Revenue Losses By prior RTA External Audit Managers, Carol Lampard & James Brezinsky	March 2012
RTA - Grant Closeout Audit for 2011 By RTA Consultant, Baker Tilly	February 2012
RTA - ADA Paratransit Audit By prior RTA External Audit Managers, Carol Lampard & James Brezinsky	June 2012
RTA - Pension Plan Review By McGladrey	May 2012
NTD 2011 Audit for period ending 12-13-2011 By Crowe Horwath, LLP	August 2012
Financial Statement Audit for period ending 12-13-2011 By Crowe Horwath, LLP	June 2012
Union Pension Plan, West & North Local 900 By Wolf & Co., LLP	February 2012

**EXTERNAL AUDITS OF PACE
Completed in 2011**

Audit and Firm Name	Completion Date
RTA 401k Plan Audit by Crowe Horwath, LLP	January 4, 2011 Final Report
Reduced Fare RTA Audit by RTA Paul Neuhauser, Carol Lampard, and James Brezinsky	April 14, 2011 Final Report
RTA Grant Closeout Audit by Baker Tilly, RTA Consultants	August 5, 2011 Final Report
Financial Statement Audit by Crowe Horwath, LLP	May 17, 2011 Final Report
Single Audit by Crowe Horwath, LLP	May 17, 2011 Final Report
RTA Pension Plan by McGladrey & Pullen, LLP	June 29, 2011 Final Report
RTA Pension Plan Audit (includes RTA, Metra and Pace) by RTA Catherine Clark	January 12, 2012 RTA Final Report
RTA 2011 Risk Assessment of RTA, Metra, CTA, and Pace by KPMG, LLP, RTA Consultants	Completed December 2011
RTA 401K Plan Audit by Crowe Horwath LLP	December 2011

EXTERNAL AUDITS OF PACE
Completed in 2010

Audit and Firm Name	Expected Completion Date
Procurement System Review By FTA	July 2010 Final Report received 8/2/10
Reduced Fare RTA Audit, Paul Neuhauser & Carol Lampard	Final Issued 12/8/10
2010 FTA Triennial Review Louise Carter, Interactive Elements, Inc.	August 2010 Final Report received 8/6/10
Year-End 2009 Financial Audit by Crowe Horwath	May 2010 Completed
Union Pension Plan Audits for YE 2008 YE 2009 by Wolf & Company LLP <ul style="list-style-type: none"> • West • North Local 900 Pension 	FY2008 Completed 12/14/09 FY2009 Completed 9/29/10
RTA 401K Plan Audit for Year Ending 2009 by Crowe Horwath, LLP	Report dated 01/04/11

**EXTERNAL AUDITS OF Pace Bus
Completed in 2009**

Audit and Firm Name	Date Completed
Financial Audit Year-End 2008 by Crowe Horwath	02/04/09 - 04/27/09
Single Audit Year-End 2008 by Crowe Horwath	02/04/09 - 04/27/09
RTA 401K Plan Audit Year-End 2007 by Crowe Horwath	03/09 – 07/09
RTA Audit of ADA Paratransit Requirements by Nelson-Nygaard	July 2009
RTA Audit of ADA Paratransit by TranSystems (Nelson-Nygaard)	09/02/09
RTA 401K Plan Audit for Year-End 2008 by Crowe Horwath	08/09/09 -12/09
State of Illinois, Office of the Auditor General Follow up Performance Report	December 2009