

EXHIBIT 10

External Audits, Internal Audits by outside firms, and In-House Reviews & Audits
from 2007-2013

2007-2013 External Audits and Reviews

FTA

- 2007 FTA Triennial Review
- 2010 FTA Triennial Review
- 2008 Financial Management Oversight (FMO) Review
- 2011 Financial Management Oversight (FMO) Review
- 2006 Procurement System Review (PSR) Report received in 2007
- 2011 Procurement System Review (PSR)
- 2012 FMO Business Impact Audit
- 2007 – 2011 Cost Allocation Plan Reviews (for 2009 – 2013 Corporate Additive Rates)
- 2011 EEO Compliance Audit
- 2007 ADA Rail Station Assessment – Final Report issued April 2012
- 2008 Title VI Compliance Review - Final Report issued May 2009

USDOT

- 2009 -2010 Audits of Improper Payment Oversight in FTA Programs
- 2011 USDOT/OIG Audit of Improper Payment Oversight in FTA ARRA Programs

DHS/FEMA

- 2010 DHS Monitoring Visit
- 2011 DHS/FEMA Desk Review of Freight Rail Security Grant Program
- 2011 DHS/FEMA Audit of Transit Security Grant Program (?)
- 2012 DHS/FEMA Audit of Transit Security Grant Program
- 2013 DHS/FEMA Desk Review of Transit Security Grant Program

IDOT/IDCEO

- 2011 Audit of IDCEO Grant

RTA

- 2011 RTA Close Out Audit of Capital Grant CRD-2007-LSC2
- 2013 RTA Close Out Audit of Capital Grant CRD-2008-9BE
- 2010 Metra Executive Compensation Review

2007-2013 Audits, Investigations and Review by Outside Firms

1. Metra Financial Audits – 2007 to 2013 (for 2006 to 2012)
2. 2010 Annual Report from Metra’s IG – Hillard Heinz
3. 2010 Metra’s Executive Compensation Practices Investigation by Soto
4. 2011 Risk Assessment and Internal Control by Blackman Kallick
5. 2012 Class and Comp Study
6. 2013 Assessment of Metra Police Department by Hillard Heinz

Audits, Reviews, Analysis & Special Engagements - 2013		
Audit Number	Audit Title	Type
2013-001	Engineering Department Job Bidding Practices	Audit
2013-002	Treasury Audit-Investments and Wire Transfers (Controls Audit)	Audit
2013-004	Procurement Audit and FTA required on-going review (1/1/13-2/7/13)	Audit
2013-005	Investigation into Employee Swipes vs. Twitter	Special Engagement
2013-006	Trainmen Review Follow-up (N.G. & .LG.)	Audit
2013-007	Liaison with External Auditors	Audit
2013-008	Ticket Services Verification Observations (audit of tickets for destruction)	Observation
2013-012	FTA Q2 Procurement Audit (2/7/13-4/30/13)	Audit
2013-014	Treasury Transaction and Reporting Audit (Wires)	Audit
2013-015	Train Observation - Elmhurst Station (observe ticket collection on train)	Observation
2013-021	Inventory KYD & BI - Special Engagement	Special Engagement
2013-023	FTA Procurement Audit 5/1/13-6/30/13	Audit
2013-024	Charitable Campaigns Audit	Audit
2013-035	Employee Time Swipe (A.B. - Mechanical Department Employee)	Special Engagement
	1/1/2013-6/30/2013	
2013-038	Ticket Services Verification Observations (audit of tickets for destruction)	Observation

List of Assigned Audit Numbers - 2012		
Audit Number	Audit Title	Type
2012-001	Audit of Trainmen Ticketing, Inventory, and Cash Receipt	Audit
2012-003	Audit of Fine Line Billings from 2010 and 2011	Audit
2012-006	Audit of Century Contractors Billings	Audit
2012-007	Ethics Compliance Review (Mailroom Gifts)	Audit
2012-009	Review of Metra Vehicle Inventory Processes	Audit
2012-011	Clear Channel Outdoor 2011 annual reconciliation	Consultation
2012-014	Police Overtime Payment Audit	Audit
2012-016	Workpapers for Station Reviews	Special Engagement
2012-017	Fuel Price Lock Performance Audit	Audit
2012-018	Human Resources Employment Lottery Observation	Observation
2012-021	Executive Level and Other Non-contract Employee Compensation Review 1/1/2012-6/30/2012	Audit
2012-024	Review of Annual Physical Inventory of Track and Signal	Audit
2012-025	Work Time Audit	Audit
2012-026	Change Order #1, Contract K91048	Special Engagement
2012-027	Review of UP Control Over Ticket Inventory and Sales	Audit
2012-029	Engineering Department GPS Consultation	Special Engagement
2012-030	Procurement & Best Practices - New Files	Audit
2012-031	Train Observation Special Engagement October 2012	Special Engagement
2012-033	GAP Analysis Trainmen Ticketing Inventory & Cash	Consultation
2012-034	Trainmen Audit - N.G.	Special Engagement
2012-035	Trainmen Audit - BNSF - C.H.	Special Engagement
2012-036	Railroad Retirement Board (external audit)	Special Engagement

Audits, Reviews, Analysis & Special Engagements - 1/2012 to 7/2012		
	Date	Review Area
1	1/6/2012	Sole Source PO K15787 for \$653,747.70 with Milwaukee Composites, Inc. - Floor and Wall Panels for Amerail Coach Rehabilitation - IFB R54214
2	1/9/2012	Sole Source BPO 15712 for Blue Island Engineering Work Equipment Parts - Racine Railroad Products - \$30,000.00 - IFB R38903
3	1/17/2012	Sole Source PO K15786 for \$1,122,027.80 covering PTC Upgrade Kits - GE Transportation Systems Global Signal, LLC - IFB R32620 - Approved Amount \$1,088,366.12
4	1/18/2012	Inventory Cycle Counts at Blue Island and KYD on 1/9/12, 47th Street on 1/11/12 and Western Avenue on 1/12/12
5	1/19/2012	Additional Unbilled Expenses Against the EJE-CN for the Maintenance of the Spaulding Interlocker, Remotely Operated by the B-17 Tower, and Maintenance of B-17 Tower for the Period February 2009 through July 2010 - \$13,073.00
6	2/7/2012	Master RFP 29512 Totaling \$4,287,236.87 with AECOM Technical Services - Professional Engineering Services for Performing Capital Assets Assessment
7	2/7/2012	Master RFP 29521 Totaling \$1,473,776.79 with Bowman, Barrett & Associates - Oswego Extension Preliminary Engineering
8	2/17/2012	Sole Source BPO B15552 for Penta Communication Dispatch System - Parts & Support - Penta Corporation - \$50,000 - IFB R53960
9	2/17/2012	Single Bid PO K15671 with Clements National Company - IFB R20312 - 480 VAC Junction Box Parts for Amerail Coach Rehabilitation - \$173,320
10	2/28/2012	Change Order #1 for \$51,960.61 to Contract K98511 totaling \$8,895,891.00 - RID 35th Street Station Project with John Burns Construction
11	3/1/2012	Change Order #2 totaling \$439,597.12 to Contract K03171 for \$4,676,080.21 - Unforeseen Costs and Time Extensions for the 211th Street Station Rehabilitation - Walsh Construction Company - Approved Amount - \$439,067.90
12	3/5/2012	Change Order #1 for \$23,349.79 to Contract K88037 totaling \$1,423,535.00 - MED Calumet Station Building Addition by George Allen Construction - Approved Amount \$20,803.76
13	3/19/2012	Audit of Physical Inventory for the Year 2011 Metra Financials
14	3/19/2012	Sole Source PO K20049 totaling \$220,212.00 with MotivePower, Inc. - Integrated Automatic Engine Start/Stop (AESS) Systems for MP36 Locomotives - IFB R41367
15	3/23/2012	Station Review of the Libertyville Station: Thursday 1/12/12
16	3/27/2012	Review of Sole Source BPO B15714 totaling \$126,000 with Oce North America - Maintenance Agreement for Metra's Oce Variostream 7300 Laser Printer for 2 Years - IFB R93696
17	3/30/2012	2011 UP Compliance Audit
18	3/30/2012	2011 BNSF Compliance Audit
19	4/11/2012	Review of Account MED-221-F at Randolph Street Station: 1/10/12
20	4/16/2012	Audit of Scrap Sales for the Period January 1, 2007 through December 31, 2009
21	4/16/2012	Review of Morton Grove Station: 3/16/12
22	4/16/2012	Review of Lake Cook Road Station: 3/9/12
23	4/17/2012	Sole Source Master Purchase Agreement P0211 for \$2,600,000 - Floor and Wall Panels for the Amerail Coach Rehabilitation - Milwaukee Composites, Inc. - IFB R55776
24	4/18/2012	EMS Statement of Direct Labor, Fringe Benefits and General Overhead for the Year Ended December 31, 2011
25	4/20/2012	Review of Oak Forest Station: March 28, 2012
26	4/24/2012	Review of Mokena Station: 4/5/12

Audits, Reviews, Analysis & Special Engagements - 1/2012 to 7/2012		
	Date	Review Area
27	4/24/2012	Review of Van Buren Street Station - Account 223-E: 4/12/12
28	4/27/2012	Revised Sole Source BPO B20188 for \$30,000 covering Clean Cab Railroad Radio Parts from GE Transportation Systems Global Signal, LLC - IFB R30027
29	4/27/2012	Review of Account 221-D: April 9, 2012
30	5/1/2012	Review of Account 221-G: 4/5/12
31	5/2/2012	Change Order #3 for \$35,414.47 to Contract K56450 with Bollinger, Lach & Associates - Engineering Services for Pingree Road Station Parking Lot Access Road - UPNW
32	5/3/2012	Review of Schaumburg Station: 4/4/12
33	5/7/2012	Sole Source Change Order #2 for \$58,032.20 to PO K86431 - Kronos Timekeeper Licenses - Kronos, Inc. - IFB R93421 - 547 W. Jackson Boulevard
34	5/10/2012	Compensation Payments to All Senior Management and Other Selected Employees for 2011
35	5/10/2012	Examination of Employee Expense Reimbursements - 2010 and 2011
36	5/29/2012	Review of Elgin Station Account B: 3/23/12
37	6/13/2012	Change Order #2 - \$962,060 - Technical Changes and Modifications - New EMU Commuter Cars (160) - Contract K03995 - Sumitomo Corporation of America
38	6/13/2012	Change Order #3 in the Requested Amount of \$127,470.36 to Contract K03171 for \$5,115,148.21 - MED 211th Street Station Rehabilitation - Walsh Construction - Agreed to and Approved Amount:
39	7/12/2012	Audit of Procurement Card Purchases - 1/1/10 to 12/31/11 - \$1,363,126
40	7/25/2012	Review of Chicago Union Station: Account CUS-J on 6/25/12
41	7/25/2012	Review of Account 221-B (ME-E), Randolph Street on June 18, 2012 at Millennium Station
42	7/25/2012	Review of Account LAS-A at LaSalle Street Station on June 20, 2012
43	7/25/2012	Review of Account RI-B at 95th Street/Beverly on June 22, 2012
44	7/25/2012	Review of Chicago Union Station: Account CUS-K on 6/15/12

Audits, Reviews, Analysis & Special Engagements - 2011		
	Date	Review Area
1	1/5/2011	Sole Source PO K05038 for \$80,018.00 with Simmons Machine Tool Corporation - IFB R10756
2	1/5/2011	Davis-Bacon Act Compliance Audit - Blinderman-Meccor Joint Venture - Metra Winnetka Station Rehabilitation Contract #K90653, Amount \$4,926,587
3	1/18/2011	Change Order #3 for \$80,000 to Purchase Order/Contract 556273 - Health Reimbursement Arrangement (HRA)/Flexible Spending Plan
4	1/21/2011	Change Order #1 totaling \$200,000 to Contract K72020, Environmental Testing and Inspection Services, Camp Dresser McKee, Inc.
5	2/1/2011	DBE - CCS Design Studio - PNW
6	2/1/2011	DBE - Bay Ridge Consulting - PNW
7	2/9/2011	DBE - DSR Group - PNW
8	2/10/2011	Review of Procurements - \$10,000 and Over - April 2010 and June 2010 - Board Submittal
9	2/11/2011	Cost Analysis - Contract K72235 - Remanufacture 52 EMD F40 PH Locomotives - Change Order #5 Request # R251856 - \$2,688,239
10	2/16/2011	Station Review of the Hanover Park Station: 1/13/11
11	2/16/2011	Audit of Physical Inventory for the Year 2010 Metra Financials
12	2/17/2011	Audit of PSA - 2009 - BNSF
13	3/8/2011	Cost Analysis - Change Order #2 for \$50,000 to Contract S02327 for \$400,000, Culloton Strategies, LLC - Public Information Oversight and Outreach Activities
14	3/10/2011	Clear Channel Outdoor, Inc. - Negotiable Credit Amount: \$411,181 - Immediate Amount Due Metra: \$390,734
15	3/21/2011	Canadian National (CN) Billing Exception Resolution
16	3/22/2011	Review 2009-2010 Three Industrial Sidetrack Agreements - Underbilled Costs - \$18,344.64
17	3/31/2011	Review of New Lenox Station: 2/16/11
18	3/31/2011	Inventory Cycle Counts at Blue Island and KYD on 3/30/11, Western Avenue on 3/22/11 and 47th Street on 3/29/11
19	3/31/2011	Change Order #1 for \$1,955.18 to PO K02678 with Simmons Machine Tool
20	4/5/2011	Executive & Senior Management Compensation Review Period Covered July 1, 2010 to December 31, 2010
21	4/13/2011	Executive and Senior Management Compensation Audit - July 1, 2010 to December 31, 2010 - With Responses
22	4/13/2011	BNSF Commuter Rail Operations-Year End 12/31/10
23	4/15/2011	UP Commuter Rail Operations-Year End 12/31/10
24	4/20/2011	UPRR's ACR 41 - Estimated Annual Impact - \$83,000 Per Year
25	4/26/2011	Single Bid for Door Parts for Amerail Bi-Level Coach Rehabilitation Project - Ellcon-National, Inc. - \$2,245,063.50 - Master PO194 - IFB R41292
26	4/27/2011	Change Order #1 to PO K02414 totaling \$496,888 - MED Brookdale Substation Rectifier - Unforeseen Condition - Requested Amount: \$5,076; Approved Amount: \$4,454
27	5/3/2011	Metra RFP No. 10748 - Rao Bravo & Associates, Inc. - Professional Quality Assurance, Engineering and Administration Assistance Service for New EMU Commuter Railcars - Requested Amount: \$11,218,617.93; Approved Amount: \$8,091,301.67
28	5/4/2011	Review of Account 221-H: Randolph Street Station: 3/14/11
29	5/6/2011	Additional Billings Against the CSX for the Period January thru December 2010 - \$92,276.23 - RID Trackage Between Joliet and Blue Island - Maintenance of Robbins Switches #21 & #39 (Project ZB0000) - Maintenance of Robbins 139th Street Grade Crossing Protection System (Project ZB0021)

Audits, Reviews, Analysis & Special Engagements - 2011		
	Date	Review Area
30	5/13/2011	Billing Adjustment CYE 2009 & 2010 - Engineering and Management Specialists, Inc. (EMS)
31	5/27/2011	RFP #44582 for Proposal Dated 4/5/11 Totaling \$358,896 for the Preparation of Metra's Cost Allocation Plans, Corporate Additives, and Equipment Usage Rates
32	5/27/2011	Sole Source PO K14063 Totaling \$80,807.71 with Alstom Signaling, Inc. - Development and Procurement of OEM Parts for Alstom VPA Signal Microprocessor Control Equipment at B17 Control Tower and Spaulding Interlocking
33	5/31/2011	Project JF4982 Kensington Interlocker Labor, Equipment & Material Not Coded and Captured - \$24,345.16 for the Period 10/2009 to 7/2010
34	6/1/2011	Change Order #1 Totaling \$772,310 to Contract K02847 with IBM Corporation - Implementation of a Maintenance, Modification & Warranty Tracking System
35	6/3/2011	Review of Accounts Payable, General Ledger, and Payroll Systems Security and Access Controls
36	6/3/2011	Review of Grants System Security and Access Controls
37	6/3/2011	Review of RMIS Inventory and Purchasing Systems Security and Access Controls
38	6/3/2011	Review of Oracle Human Resources System Security and Access Controls
39	6/6/2011	Change Order #2 totaling \$43,991.77 to Contract K99686 totaling \$10,094,220 - Revenue Accounting System Implementation by AST Corporation
40	6/14/2011	Change Order #2 totaling \$202,577.68 to Contract K84641 for \$4,291,225.70 - Platform Rehabilitation Project at Five BNSF Stations - John Burns Construction Company
41	6/14/2011	New Revenue Accounting and Point-of-Sale System - Testing and Procedures
42	6/28/2011	Review of 111th Street - Morgan Park Station - Rock Island District - RI Account F, Completed 5/11/11
43	7/7/2011	Change Order #1 for \$16,709,585 Revised 5/23/11 to Purchase Order K03995 - Purchase of 160 New EMU Bi-Level Commuter Cars - Sumitomo Corporation of America
44	7/7/2011	Revised Change Order #1 (Contract Modification #6 & #8) for \$207,980.31 to Contract K03171 - \$4,468,100 - MED 211th Street Station Rehabilitation Work by Walsh Construction Company
45	7/25/2011	Change Order #3 Totaling \$310,000 to Contract K98560 Totaling \$2,858,000 - Revenue Accounting Systems Consulting Services - Pricewaterhouse Cooper, Diamond Advisory Services
46	7/27/2011	Summary Activity and Status Report - Second Quarter 2011
47	8/17/2011	Target Group, Inc. (TGI) Proposal and Billings Review - \$239,437.42 - Change Order #1 for \$474,669.02 TranSystems Corporation - Contract #K02221
48	8/18/2011	Review of Account E at CUS: 6/14/11
49	8/22/2011	Summary of Major Activity & Status Report - July 2011
50	8/30/2011	NICTD - Review of PSA and Trackage Rights - For Calendar Year 2010
51	9/1/2011	Sole Source Bid for LED Signs for Amerail Bi-Level Coach Rehabilitation Project - Suncoast LED Displays - \$47,880 - K14549 - RFP R41323 - Approved Amount - \$41,820
52	9/9/2011	Inventory Cycle Counts at Blue Island and KYD on 8/31/11, 47th Street on 9/7/11 and Western Avenue on 9/8/11
53	9/13/2011	Iowa Interstate (IIRL) Trackage Rights Agreement - RID - Use of Trackage Between Joliet and Blue Island 9/10-5/11 - Additional Amount Due - \$2,352.39
54	9/20/2011	Review of Roselle Station: 7/21/11
55	9/20/2011	Executive and Senior Management Compensation Audit - January 1, 2011 to June 30, 2011
56	9/21/2011	Change Order #1 totaling \$474,669.02 to Contract K02221 totaling \$5,651,418.11 - Final Design of CREATE Project P1 (Phase 2) by TranSystems Corporation
57	9/27/2011	Executive & Senior Management Compensation Review Summary Report - Period Covered January 1, 2011 to June 30, 2011

Audits, Reviews, Analysis & Special Engagements - 2011		
	Date	Review Area
58	9/27/2011	Change Order # 3 for \$28,190.94 to PO K68673 for \$3,667,289 - Covering RID ETMS - Wabtec Railway Electronics
59	10/10/2011	Employee Expense Report Audit - Calendar Year 2010
60	10/10/2011	Summary Activity and Status Report - Third Quarter 2011
61	10/12/2011	Davis-Bacon Act Compliance Audit - Pro-Temp of Illinois - BNSF Train Wash Facility at 805 S. Canal Street - Contract No. K03047 - Contract Amount \$1,232,000
62	10/31/2011	Change Order #1 to K13505 for Credit of \$6,839.93 and Change Order #1 to K05158 for Credit of \$47,879.51 - Substitution of Radios for Police Vehicles - Terry Ford Lincoln Mercury
63	10/31/2011	Sole Source PO K14725 (IFB R10618) totaling \$110,224.99 - Positive Train Control Installation Kits from Wabtec Railway Electronics
64	10/31/2011	Change Order #1 for Requested Amount of \$28,183.81 - Approved Amount of \$24,382.54 - Contract K04384 totaling \$149,777.34 - Blue Island Storm Sewer Installation at Vermont Street by Lake Plumbing, Inc.
65	10/31/2011	Contract Modification (CM) #9 totaling \$3,545.30 and #13 totaling \$7,656.75 to Contract K03171 for \$4,268,100 on MED 211th Street Station Rehabilitation by Walsh Construction Company
66	11/1/2011	Change Order #1 PO K05156 for \$15,180 Credit - Bill Jacobs Chevrolet - Police Vehicles
67	11/7/2011	Sole Source Change Order #1 for \$3,537.06 to PO S03383 totaling \$16,070 - Mechanical Repairs to 47th Street Diesel House Wheel Truing Machine - Simmons Machine Tool Corporation
68	11/8/2011	Project JF0209 Rondout Interlocker Unbilled Labor, Equipment & Material for the Period 2/1/10 to 5/31/11 - \$5,572.47
69	11/10/2011	Sole Source MPA #P0202 for \$1,458,000 with Microphor, Inc. - Toilet Kits for Bi-Level Coaches Rehabilitation - IFB R41342
70	11/11/2011	Sole Source PO K15158 for \$31,650 for Direct Replacement Repair Parts - Simmons Machine Tool Corporation - IFB R54250
71	11/11/2011	Davis-Bacon Compliance Audit - Lawdensky Construction Company, Inc. - UP California Avenue Roof Rehabilitation at 432 North Sacramento - Contract # K13606 - Contract Amount \$942,000
72	11/14/2011	Inventory Count at PAL Center on 11/10/11
73	11/16/2011	Sole Source Blanket PO B14976 (IFB R17422) totaling \$1,900,000 - Direct Replacement Repair Parts from Vapor Stone Rail Systems - Agreed to Cost Savings of Approximately \$69,295.49
74	11/28/2011	P-Card Analysis 1/1/2008 through 5/31/2011

Audits, Reviews, Analysis & Special Engagements - 2010		
	Date	Review Area
1	1/7/2010	Single Bid - Cost/Price Analysis - PO #K92005
2	1/7/2010	DBE Financials as of 12/31/2009
3	1/8/2010	Sole Source - PO #K92115
4	1/8/2010	PO #86622 - Overbilled \$17,300
5	1/12/2010	Review of Account 'O' CUS
6	1/14/2010	NS Audit Claim - \$191,931.81; approved \$96,927.94
7	1/22/2010	Physical Inventory - 2009 Audit
8	1/25/2010	System's Rate Table Review
9	2/9/2010	CSX Trackage Agreement Dated 12/31/1981; Supplement Letter Agreement Dated 1/12/1997 - RID; Additional Amount Billable to CSX - \$33,787
10	2/22/2010	Review of Account 220-A at South Water Street Station
11	2/25/2010	B-12 Interlocker Operations - WC/CN, IHB & CP Joint Facility Agreement - Total Amount Billable \$117,907.04 - 9/1/08 to 10/31/09
12	3/2/2010	Davis-Bacon Act Compliance Audit J.T. Magen & Company Inc.; Van Buren Station Roof Repair Contract #K99917, Amount \$799,000
13	3/2/2010	Review of Vermont Street Station: 1/27/10
14	3/3/2010	Price Analysis - Sole Source PO K02141 for \$63,360; Sensitive Edge Assemblies from Bircher America, Inc.
15	3/4/2010	Change Order #3 totaling \$119,523.95; UP North Line Design Engineering Services by Edwards & Kelsey; Contract K44057 for \$2,000,000
16	3/4/2010	Contract #K02221 for \$5,651,418.11; Transystems Overhead Rate Review; Change from 156.44% to 151.80%
17	3/10/2010	Sole Source PO K91655 for \$125,275.68 with Alstom Signaling; Solid State Vane Relays-IFB R30111
18	3/22/2010	TTR Reporting Information 1/16/10 to 1/31/10
19	3/22/2010	E-mail - CSX Maintenance Billings-6/09 to 12/09
20	3/26/2010	Change Order #1 totaling \$770,975 to Contract K90657; Additional Credit & Debit Card Ticket Vending Machines from GFI Genfare
21	3/26/2010	Inventory Test Counts at 47th Street on 3/10/10, Western Avenue on 3/17/10, and Blue Island & KYD on 3/23/10
22	3/29/2010	LaSalle Street Station Lease Agreement - Audit of One Financial Place Billings - Calendar Year 2008
23	3/30/2010	Inactive Nextel Phones - Six Month Cost - \$11,033.47
24	3/30/2010	Beitler Millennium Rental and Management Services; Compliance Audit of Operations and 2008 Billings to and from Beitler
25	4/5/2010	Change Order #1 - \$866,689.55 Credit to Contract K98511; 35th Street RID Commuter Station-John Burns Construction Company
26	4/12/2010	Change Order #2 for \$933,083 - URS Corporation Contract K42986; Environmental Assessment Updates for UPNW and UPW Lines
27	4/12/2010	BNSF - Year Ended 12/31/09
28	4/13/2010	Sole Source PO K02702 for \$211,645.12-Knorr Brake Corporation; Electro Pneumatic Test Bench and Test Plate, Adapter Assembly Kit and Development of Test Procedures
29	4/13/2010	Sole Source PO K02550 for \$827,860 with Railhead Vision Systems; Metra Highliner DVR Kits-RFP 04634

Audits, Reviews, Analysis & Special Engagements - 2010		
	Date	Review Area
30	4/13/2010	Change Order #1 totaling \$9,000 to Contract K90576; Removal and Trimming of Large Trees; Asplundh Tree Expert Company - Railroad Division
31	4/15/2010	Review of Elgin Station Account A: 2/23/10
32	4/22/2010	Contract K90177 - Single Roofing Company, Inc.; Change Order #1 - Credit of \$763,398.48 - Approved Credit \$787,713.52; Eliminate Skylights Work from Re-Roof of 47th and 49th Street
33	4/23/2010	UP - Year Ended 12/31/09
34	4/27/2010	Purchase of Service Agreement Audits for Years 2005 to 2008
35	5/4/2010	Sole Source PO K02678 for \$46,314 with Simmons Machine Tool Corp - IFB R04309
36	5/25/2010	Change Order #2 to Contract K68324 - Boller Construction work on DesPlaines Commuter Station
37	5/26/2010	Review of Orland Park 153rd Street Station
38	5/28/2010	Sole Source PO K03262 for \$28,228 with Simmons Machine Tool Corp - IFB R04311
39	6/1/2010	Impark: February to May 2010 Parking Rent Underpaid - \$11,803
40	6/3/2010	Reportable Conditions High Risk Areas
41	6/8/2010	Underbilling of Englewood Crossing Diamonds Maintenance Expenses - \$26,323.04
42	6/9/2010	Rondout Interlocker Maintenance and Operation Expenses-Proportions Billable to Gary Railway (EJ&E) and CN
43	6/18/2010	Sole Source PO K03279 for \$47,649 with Simmons Machine Tool Corporation, IFB R04310
44	6/21/2010	Single Bid PO #K03395, Point of Sale Integration - \$40,215
45	6/22/2010	EMS Contract K86876, Labor Rate Discrepancy Form 4400 and Rate Charged
46	6/24/2010	Contract K90177 - Singles Roofing Company, Inc., Revised Change Order #1 - Credit of \$780,881.00, Eliminating Skylights Work on 47th/49th Shop Re-Roof Project
47	6/29/2010	Review of Payments to United Health Care (UHC), Period December 2009 through May 2010 - Refund Due \$11,778.24
48	7/2/2010	Change Order #1 for \$27,475.72 to Contract K02467, Rebuild BNSF 14th Street Shop Trackmobile 95TM by Voss Equipment
49	7/8/2010	Inventory Cycle Counts at Blue Island and KYD on 6/10/10, Western Avenue on 6/16/10, and 47th Street on 6/29/10
50	7/8/2010	Review of Account C at Chicago Union Station: 5/26/10
51	7/9/2010	For Internal Discussion Only, Compensation Payment Review - 1/1/2010 to 6/30/2010
52	7/26/2010	Sole Source PO K03422 with Railhead Vision Systems for \$120,000, IFB R08800
53	8/3/2010	Revised Change Order #2 for \$748,743 to Contract K42986 - URS Corporation, Environmental Assessment Updates for UPNW and UPW Lines
54	8/5/2010	NICTD Trackage Rights Agreement (TRA) Total Adjustment - \$932,041.41, (1) Final Calendar Year 2009 Adjustment - \$559,224.85, (2) Estimated Adjustment 2010 Billings 8 Months - \$372,816.56
55	8/10/2010	Review of Account 223-D at Van Buren Street Station: 6/17/10
56	8/12/2010	Compensation Audit of Executive and Senior Management
57	8/16/2010	Single Bid RFP 16870 for \$559,998,258 with Sumitomo Corporation of America, 160 New EMU "Highliner" Gallery Type Commuter Cars and Spare Parts
58	8/19/2010	Compensation Audit 1/1/10 to 6/30/10
59	8/23/2010	Sole Source PO K03629 for \$45,306 with Whiting Corporation - IFB R21454
60	9/13/2010	Illinois Department of Commerce & Economic Opportunity (DCEO) Grant for \$2 million - Grant #03-120071 - Jefferson Park Station Improvements

Audits, Reviews, Analysis & Special Engagements - 2010		
	Date	Review Area
61	9/16/2010	FTA Semi-Annual Uniform Report of DBE Awards, Commitments and Payments for the First Half of Fiscal Year 2010, October 1, 2009 to March 31, 2010
62	9/21/2010	Audit of Metra Payments to Century Contractors, Inc. 1/1/08 through 3/31/10, Contracts K91172, K90271, K87024, K86637, K86612, B86567 and B71229 - Overbillings of \$147,090.08
63	9/22/2010	Review of Account M at CUS: 7/13/10
64	10/5/2010	Single Bid PO K03707 for Submitted Amount - \$19,735.30, Corrected Amount - \$19,673.62 with Integument Technologies, Inc., Dielectric Film Coating & Supplies for MED Nippon-Sharyo Highliner Roofs, IFB R27607
65	10/7/2010	Review of Bensenville Station: 8/12/10
66	10/7/2010	Single Bid PO K04290 for \$288,900 with Camfil Farr, Inertial Filters for MP-36 Diesel Locomotives, IFB R04657
67	10/7/2010	Single Bid PO K04197 for \$91,587.25 for Lighting Kits: Subkit #4 Lens, Luminator Holding L.P.
68	10/12/2010	Summary of Outcome of Investigation - Allegations Raised Against the DBE Department
69	10/12/2010	UPG Services LLC
70	10/14/2010	Single Bid PO K04747 for \$199,880 with Asplundh Tree Expert Company, On Track Tree Trimming - IFB 05720
71	10/29/2010	Iowa Interstate (IIRL) Trackage Rights Agreement - RID, Use of Trackage Between Joliet and Blue Island 1/09-8/10, Additional Amount Due - \$12,026.52
72	11/4/2010	Davis-Bacon Act Compliance Audit - Northwest General Contractors, Inc., Metra Lockport Station Project Contract #K91082, Amount \$581,312.20
73	12/7/2010	Change Order #1 to Contract K85861 for \$971,000 - 547 Building 3rd Floor Computer Room Air-Conditioning Modification, International Piping Systems, Inc. - Requested Amount \$41,260.01; Approved Amount \$40,066.98
74	12/10/2010	Trackage Rights Over IC-CN for Heritage Corridor Between 21st Street and Stewart Avenue in Chicago and South Joliet, Overpayment of \$31,840.30
75	12/17/2010	Change Order #1 (CM #4) totaling \$17,199.32 to Contract K91232, MED Bridge Column Rehabilitation, Freyssinet, Inc.
76	12/21/2010	Acceptance of Expired Transit Checks - \$7,282.55, Service Charges on Returned Transit Checks - \$5,350
77	12/21/2010	Overbilling for Bank Owned Terminals (BOT), Unallowable State and Local Taxes Charged on BOT
78	12/28/2010	Davis-Bacon Act Compliance Audit - John Burns Construction Company, Metra 35th Street Station Project Contract #K98511, Amount \$8,695,891

Audits, Reviews, Analysis & Special Engagements - 2009		
	Date	Review Area
1	1/12/2009	Single Bid - Cost/Price Analysis - Contract #K87537
2	1/14/2009	Single Bid - Cost/Price Analysis - Purchase Order #K87481
3	1/15/2009	Single Bid - Cost/Price Analysis - Purchase Order #K87174
4	1/21/2009	IIRL - Project Z01728 Additional Amount Unbilled - \$4,056.27
5	1/22/2009	Water Main Relocation - 31st St. at South LaSalle Street - \$107,799.79
6	1/27/2009	IBM 2423-931 System Storage DS8000, Metra PO K87991 for \$171,338
7	1/28/2009	Cost Analysis - PO K98160 - AT&T Global Services
8	1/29/2009	IIRL Derailment Billable Amount \$781.74
9	1/29/2009	Change Order #1 - Cost/Price Review - Contract #K12957
10	1/30/2009	Ozinga Concrete - Billable Expenses \$19,138.95
11	2/2/2009	Davis-Bacon-Oakley Construction-RID Parking Lots 100th, 101st & 103rd Street-Contract K71602-\$1,905,526.31
12	2/4/2009	Fine Line Invoices for 5 Snow Plow Assemblies-\$30,395.88
13	2/6/2009	CP Rail Base Cap Underbilling 6/1/05 to 2/28/09 - \$116,118
14	2/11/2009	Review of Itasca Station: 1/13/09
15	2/16/2009	Joliet Union Depot Operating Agreement-Unbilled Utility & Mechanical Systems Costs Since 1995-\$550,000 Estimated
16	2/17/2009	Joliet Union Depot and EJ&E East Joliet Joint Interlocker Expenses \$148,512.34 Underbilled
17	2/26/2009	RID Industrial Trackage Agreements Unbilled Amounts-\$47,222.53
18	2/26/2009	MED & Capital Signal Payroll-Basic Monthly Amount Overpayment-\$44,249.65 for years 2007 & 2008 - \$30,475
19	2/27/2009	Replacement of Armored Truck Engine-\$13,409.34, Invoice #60989, Dated 12/8/08-Morgan Park Auto Service, Inc.
20	3/3/2009	Contract K84763 for \$1,200,000; Change Order #1 for \$276,790.34; Route 59 Station ADA Improvements by John Burns Construction Company
21	3/3/2009	\$16,980 Sole Source Purchase Order K98565 from Microphor, Inc. - Waste Treatment Tank Assembly
22	3/9/2009	Charlie's Autobody Repair Shop - Unpaid Billings for \$30,293 as of 3/1/09; Body Repair Work Blanket PO #B84268 for \$100,000-1/1/08 to 12/31/09
23	3/11/2009	Cost Analysis - PO K88004 for \$4,324,980; Single Bid for Catenary Inspection & Maintenance Vehicles with Trailers - Plasser American Corporation
24	3/24/2009	Inventory Test Count Observation at Blue Island & KYD on 3/3/09 and Western Avenue & 47th Street on 3/12/09
25	3/25/2009	Change Order #1 - Cost/Price Review - Contract #K68324
26	3/26/2009	Review of Franklin Park Station: 2/11/09
27	4/1/2009	Change Order #1 - Cost/Price Review - Contract #K42982
28	4/1/2009	Change Order #2 - Cost/Price Review - Contract #K68673
29	4/7/2009	Compliance Audit Report on 2008 UP PSA for Metra Financials
30	4/8/2009	Compliance Audit Report on 2008 BNSF PSA for Metra Financials
31	4/14/2009	Review of LaSalle Street Station Account D: 3/12/09
32	4/15/2009	Change Order #2 - Cost/Price Review - Contract #K72235
33	4/21/2009	One Source Facility Additional Vacancy Credit - Contract S42557
34	4/27/2009	Sole Source PO K99093
35	4/27/2009	Review of Chicago Union Station Account D: 4/14/09

Audits, Reviews, Analysis & Special Engagements - 2009		
	Date	Review Area
36	4/29/2009	Davis-Bacon-Central Blacktop-Street Roadway Improvement Project Orland Park 108th Ave and 159th-Contract K68544-\$5,977,526
37	4/30/2009	Change Order #1 - Cost/Price Review - Contract K86671
38	5/14/2009	New Start Project: UP West Line Extension Project-Project AQ 2983 IL-03-0218-Project Cost of Approx \$38 Million
39	5/18/2009	Review of Schaumburg Station: 4/28/09
40	5/19/2009	Change Order #1 - Cost/Price Review - Contract K42982
41	5/28/2009	Change Order #1 - Cost/Price Review - Contract K26666
42	5/29/2009	Review of Account B at Fox Lake Station: 3/16/09
43	5/29/2009	Contract Modification #6 for \$186,168.93 for Contract K86566
44	6/8/2009	2008 Additional Amount Billable to CSX - \$21,483.36
45	6/10/2009	Review of Round Lake Station: 4/14/09
46	6/12/2009	Contract K69037 for \$1,186,646.75; Change Order #2 for \$60,041.77; 35th Street RID Station
47	6/15/2009	Review of Tinley Park Station: 5/19/09
48	6/17/2009	Review of 2007 & 2008 Billable Costs - RID
49	6/29/2009	Review of Account 220-C; South Water Street Station
50	7/2/2009	Review of Deerfield Station: 6/3/09
51	7/28/2009	Single Bid PO K90554 for \$940,506.60 with Vapor Stone Rail Systems
52	7/29/2009	Review of 57th Street Station: 6/11/09
53	7/29/2009	Additional Amount Billable to CSX for Missed Car Weights - \$29,935
54	8/11/2009	RID Joint Facility and Sidetrack Billings 1/09 to 5/09
55	8/11/2009	Milwaukee Road Trackage Rights and Joint Facility Expenses
56	8/19/2009	Davis-Bacon Act Compliance Audit-Northwest General Contractors, Inc.; Round Lake, Ingleside Station Contract #K85348, Amount \$1,800,822.80
57	8/19/2009	Inventory Test Counts at Blue Island & KYD on 8/6/09, Western Avenue on 8/10/09, and 47th Street on 8/12/09
58	8/20/2009	Draft - Blue Island 5/1/09 to 7/31/09; Comparison of Hours Claimed & Paid Per Timesheets to GPS Records
59	8/24/2009	Review of Metra's Safety Incentive Program 401K Payments & Days Off
60	8/27/2009	TBI - Limited User Acceptance Testing
61	8/28/2009	Iowa Interstate Railroad (IIRL) Trackage Rights - RID; Use of Additional Trackage in 2008 Not Covered in the Agreement
62	8/28/2009	NICTD Trackage Rights Agreement (TRA) - Final 2008 Billable Amount - \$336,905; Additional Estimated Billable Amount January to September 2009 - \$244,396
63	9/4/2009	Review of Account L at CUS: 7/14/09
64	9/10/2009	Kensington Interlocker Operations - CN & NICTD Joint Facility Agreement - Total Amount Billable - \$234,537.53 - 9/1/07 to 5/31/09
65	9/10/2009	Draft Memo - Comparative Analysis of Time Worked to GPS Records 5/1/09 to 7/31/09 - Engineering Departments - RID, MED, MWD
66	9/15/2009	Single Bid PO #K91089 for \$11,469.08 with Kor-Pak Corporation - Truck Liners, Wear Plates & Sleeves
67	9/21/2009	Review of Grayslake Station: 8/13/09
68	9/29/2009	Norfolk Southern (NS) Audit Claim of \$191,931.81 - 2/2006 through 6/2009 - Additional Base Compensation and On-Time Performance

Audits, Reviews, Analysis & Special Engagements - 2009		
	Date	Review Area
69	9/30/2009	Audit of Procurement Card Purchases - 3/2/09 to 5/29/09 - \$206,277
70	10/6/2009	Change Order #2 - Cost/Price Review - Contract #K70539
71	10/9/2009	Single Bid - Cost/Price Analysis - Contract #K91205
72	10/13/2009	Single Bid - Cost/Price Analysis - Contract #K91134
73	10/13/2009	Change Order #4 - Cost/Price Review - Contract #K72235
74	10/14/2009	Single Bid - Cost/Price Analysis - Contract #K90783
75	10/16/2009	Single Bid - Cost/Price Analysis - Contract #K91097
76	10/26/2009	Single Bid - Cost/Price Analysis - Contract #K90858
77	10/26/2009	Single Bid - Cost/Price Analysis - Contract #K91621
78	10/26/2009	Change Order #2 - Cost/Price Review - Contract #K71810
79	10/26/2009	MED Joint Line Claims Billable to NICTD - Trackage Rights Agreement 2008
80	10/28/2009	Single Bid - Cost/Price Analysis - Contract #K91349
81	10/30/2009	Change Order #5 - Cost/Price Review - Contract #K27385
82	10/30/2009	MED Engineering DC Electricians - IBEW Union - Weekend Payroll Payments Review - 6/09 to 9/09
83	11/3/2009	Change Order #1 - Cost/Price Review - Contract #K56572
84	11/4/2009	Single Bid - Cost/Price Analysis - Contract #K91623
85	11/5/2009	Single Bid - Cost/Price Analysis - Contract #K91703
86	11/11/2009	Single Bid - Cost/Price Analysis - Contract #K91660
87	11/11/2009	Analysis of Overtime Payments - MED IBEW Union - Weekend Payroll Payments - 6/09 to 9/09
88	11/11/2009	BNSF - 2008 PSA Financial Statements Audit
89	11/17/2009	Sole Source PO 91173
90	11/18/2009	Davis-Bacon Act Compliance Audit and DBE Payment Compliance Review - Singles Roofing; Re-Roof 47th & 49th Street Shops, Contract #K90177, Amount \$1,995,712
91	12/7/2009	Change Order #4 - Cost/Price Review - Contract #S42557
92	12/10/2009	Change Order #1 - Cost/Price Review - Contract #K84641
93	12/10/2009	Sole Source PO K92017
94	12/10/2009	Single Bid - Cost/Price Analysis - Contract #K91917
95	12/10/2009	Davis-Bacon Act Compliance Audit - F.H. Paschen, S.N. Nielsen & Assoc., LLC - Reconstruction of Various MED Bridges - Contract #K85927 - Amount \$5,698,000
96	12/11/2009	Sole Source (FTA) PO #91761
97	12/11/2009	Single Bid - Cost/Price Analysis - Contract #K92111
98	12/15/2009	Monthly Billing for 490 GPS Units - \$12,250 Per Month, Safemobile, Inc. - BPO # B86622
99	12/17/2009	Review of Northbrook Station: 11/13/09
100	12/28/2009	Single Bid - Cost/Price Analysis - Contract #K91758

Audits, Reviews, Analysis & Special Engagements - 2008		
	Date	Review Area
1	1/4/2008	Audit Activity - 2007
2	1/8/2008	Canadian National (CN) Additional Flagging Costs - \$1,683,413 - Metra NCS Expansion Territory Waukesha, IL 15.6-55.7
3	1/9/2008	Station Sales and Reporting - Account 221-D
4	1/10/2008	Change Order #2 - Cost/Price Review Contract #K56120
5	1/15/2008	Station Sales and Reporting - Libertyville Station
6	1/16/2008	Inventory Test Counts - Materials Management System
7	1/17/2008	Accounts Payable System - Security & Access Controls Review
8	1/23/2008	Alleged Deliveries of Fuel at M-19A Facility
9	1/30/2008	Sole Source - Cost/Price Analysis - Contract #K84131
10	2/5/2008	Sole Source - Cost/Price Analysis - Contract #K84188
11	2/11/2008	Sole Source - Cost/Price Analysis - Meteor Communications
12	2/12/2008	Change Order #1 - Cost/Price Review - Contract #K56602
13	2/13/2008	Kensington Interlocker Joint Facility Billings - 2007
14	2/14/2008	Shop Additive - John Burns Construction Company / Contract No. K68280 - Metra/MED Project No. 2884 - 75th and 79th Street Stations
15	2/15/2008	Ticket Inventory - Mokena Station
16	2/27/2008	AT&T Charges Review - January 2008
17	2/28/2008	Iowa Interstate Railroad Ltd. (IIRL) Trackage Agreement Dated December 1, 1987 - RID
18	3/5/2008	Station Sales and Reporting - Fox Lake
19	3/10/2008	WSRR Trackage Rights Billing Review - 2006 & 2007
20	3/17/2008	2007 Applied GeoScience Compliance Review of Sample Payments - Contract #s K56230, K68733 and K69255
21	3/17/2008	Change Order #3 - Cost/Price Review - Contract #K44128
22	3/17/2008	Vendor Payments Review - 2007 - Contract #'s K56230, K68733 & K69255
23	3/19/2008	Station Sales and Reporting - Mokena
24	3/28/2008	CSX Trackage Rights - RID Between Blue Island and Joliet - Metra Billings for 2006 and 2007 - Consolidated Control Facility (CCF) Records
25	3/28/2008	Single Bid - Cost/Price Analysis - Contract #K84662
26	3/31/2008 & 4/9/08	Purchase Order and RMIS Systems Security and Access Controls Review
27	4/2/2008	Sole Source - Price/Cost Analysis - Galco Industrial Electronics
28	4/7/2008	Inventory Test Counts - Materials Management System
29	4/8/2008	Change Order #1 - Cost/Price Review - Contract #K68497
30	4/9/2008	Security and Access Controls - Purchase Order / RMIS Systems
31	4/10/2008	Fleet Fuel Card Purchases Review - 2007
32	4/11/2008	Stations Sales and Reporting - Lake Cook Road
33	4/14/2008	Single Bid - Cost/Price Analysis - IFB #97020
34	4/16/2008	Tenant Leases Compliance Review - 2007
35	4/18/2008	UP PSA - 2007 Financial Statements Review
36	4/22/2008	BNSF PSA - 2007 Financial Statements Review
37	5/6/2008	2007 Repair and Return Inventory Items - Materials Management System
38	5/14/2008	Sole Source - Price/Cost Analysis - Contract #K84914
39	5/16/2008	Change Order #1 - Cost/Price Review - Contract #K67246

Audits, Reviews, Analysis & Special Engagements - 2008		
	Date	Review Area
40	5/20/2008	CSX Trackage Rights Billing Claim - 3/2002 through 12/2006
41	5/27/2008	Station Sale and Reporting - Account N
42	6/2/2008	Accounting of Tickets and Sales - UP PSA
43	6/2/2008	Vendor Contract Compliance - Contract #K56253
44	6/12/2008	Change Order #1 - Cost/Price Review - Contract #K69037
45	6/12/2008	Sole Source - Price/Cost Analysis - Contract #K85349
46	6/13/2008	Zone 12 Trackage between Interlocker B-12 in Franklin Park and Craigin Junction
47	6/13/2008	Sole Source - Price/Cost Analysis - Contract #K85438 and K85437
48	6/20/2008	Extension of Metra/NICTD Trackage Rights Agreement MED Joint Line
49	6/20/2008	Highway Vehicle Roadway Assistance - Vendor Review
50	6/23/2008	Station Sales and Reporting - Account 221-E
51	6/24/2008	Station Sales and Reporting - Midlothian Station
52	6/30/2008	Blinderman Construction - Miscellaneous Interior Improvements and Upgrades at Metra Headquarters, Blanket PO #K56253
53	7/21/2008	Sole Source - Price/Cost Analysis - Contract #K85450
54	7/21/2008	Change Order #1 - Cost/Price Review - Contract #K27463
55	7/24/2008	Shah Engineering, Inc.
56	7/29/2008	Leased Vehicle Repair Reimbursement from Danella Rental Systems, Inc.
57	7/31/2008	Change Order #1 - Cost/Price Review - Contract #K27571
58	8/4/2008	Unsold Monthly and Weekend Tickets at Union Pacific - 2007
59	8/5/2008	Inventory Test Counts - Materials Management System
60	8/5/2008	Station Sales and Reporting - Bartlett Station
61	8/7/2008	Canadian National (CN) Maintenance & Operation - North Central Service - NICOR Gas Bill - Account Number 09-47-42-6059 4 - \$50,086.90
62	8/8/2008	Station Sales and Reporting - Account 221-C
63	8/13/2008	Club Car, Inc. - LaSalle Street Porta Bar (Contract R-4018) and Bar Car Service (Contract M-30210) Payments - 2007
64	8/18/2008	Contract K70539 Change Order #1 - \$138,205 - Blinderman Construction - Beverly Station 99th Street
65	8/22/2008	Audit of Citigroup Center Leases C40113 and CL79103 for 2007 UST-GEPT Joint Venture, L.P.
66	8/26/2008	LaSalle Street Station Lease Agreement - Audit of One Financial Place Billings - 2006 and 2007 Calendar Years
67	8/27/2008	Review of Account 220-B: South Water Street Station
68	8/28/2008	Request for Cost Analysis - Single Bid - Purchase Order K86392 - \$16,200 - Draft Gear Complete
69	8/28/2008	Request for Cost Analysis - Sole Source - Purchase Order K68358 - \$52,168.92 - Vapor Door Parts
70	8/29/2008	Contract K71810, Project BD 3161 - MED - Harvey Retaining Wall - Approved Amount \$33,116.38 - Contract Modification (CM) #s 5, 6, 7, 8 & 9
71	9/8/2008	Purchase of Service Agreement (PSA) - Union Labor Contract Backpay Review - 2001 to 2005
72	9/8/2008	Groebner & Associates, Inc. - Single Bid - Purchase Order K86359 - Natural Gas Pipe and Various Fittings for \$50,000
73	9/15/2008	Accounts Payable System Upgrade - Testing & Balancing Procedures
74	9/23/2008	Station Sales and Reporting - Account F - CUS
75	9/26/2008	Cost Analysis - International Piping System, Inc. (IPS) - Contract K56753 Change Order No. 2 - Requested Amount: \$205,036.22 - Approved Amount \$183,422.11
76	10/2/2008	Station Sales and Reporting - Accounts 223-B and 223-G - Van Buren Street

Audits, Reviews, Analysis & Special Engagements - 2008		
	Date	Review Area
77	10/6/2008	Follow Up Audit of Elevator Maintenance and Service Contract - Sterling Elevator Co. - BPO #B68347 for \$600,000 for 9/1/06 to 8/31/09
78	10/20/2008	Davis-Bacon - Herlihy Constructors, Inc. - Harvey Wall Rehab Project Contract #K71810 - \$497,500
79	10/23/2008	Mainframe System Security and Access Control Review - Non Critical Systems
80	10/27/2008	Station Sales and Reporting - Morton Grove Station
81	10/27/2008	Change Order #1 - Cost/Price Review - Contract #K56450
82	10/29/2008	Physical Inventory at Rondout Location - Not Conducted
83	11/6/2008	Audit of Clear Channel Outdoor (CCO) - Advertising Agreements for Calendar 2007
84	11/10/2008	Change Order #1-Contract #K43864, Change Order #2-Contract #K42983, Change Order #1-Contract #S43867, Change Order #3-Contract #K43867 - Cost Price Review
85	11/10/2008	Change Order #1 - Cost/Price Review - Contract #K43865
86	11/11/2008	Wisconsin Central (WC)/Canadian National - Trackage Rights Agreement - Dispatching Costs for 2007 - \$3,444.15
87	11/11/2008	Station Sales and Reporting - Tinley Park 80th Avenue Station
88	11/11/2008	Accurate Reporting Co., Inc. - S70080 for \$350,000 - 1/30/07 to 1/31/10
89	11/11/2008	Joint Facility Agreement - IHB, WC, CP and Metra: B-12 Interlocker in Franklin Park
90	11/13/2008	Sarang Corporation Pingree Road General Conditions Request - Contract K30572, Change Order #1 - \$168,924.80
91	11/14/2008	Joint Facility Agreement - IHB, WC, CP and Metra: Dispatching Costs for B-12 Interlocker - Milwaukee District
92	11/14/2008	Joint Facility Agreement - IHB, WC, CP and Metra: Operating Costs for the B-12 Interlocker - Milwaukee District
93	11/21/2008	Davis-Bacon - Blinderman Construction Co., Inc. - RID 99th Street Station Restoration Project Contract #K70539 - \$2,172,222
94	12/1/2008	Wisconsin & Southern Railroad (WSOR) Special Business Train
95	12/4/2008	Station Sales and Reporting - Accounts U and Z at CUS
96	12/5/2008	Change Order #4 - Cost/Price Review - Contract #K43867
97	12/5/2008	Change Order #3 - Cost/Price Review - Contract #K43867
98	12/11/2008	Inventory Test Counts - Materials Management System
99	12/12/2008	2007 Payments Review Contract #s K68733, K96255, B44422, K56230
100	12/12/2008	Central ICR Electrical Contracting Co., Inc. (CICR) - Invoice of \$110,402.03 for Alleged Work 4/24/07 to 10/12/07 Without Contract
101	12/15/2008	Audit of Procurement Card Purchases - March 30, 2008 to July 5, 2008

Audits, Reviews, Analysis & Special Engagements - 2007		
	Date	Review Area
1	1/2/2007	Audit Activity - 2006
2	1/4/2007	\$21,546 Single Bid Purchase Order K69249 for Bushing and Pin Coupler Parts - Hadady Corporation
3	1/5/2007	Change Order #1 for \$24,560.80 to Contract K43877 for Joliet Parking Lot ER - Huff & Huff,
4	1/12/2007	Inventory Test Count Observations at Blue Island, Western Avenue, 47th Street, and KYD Storehouses on January 9/10, 2007
5	1/18/2007	Sole Source PO K69295 with Sumitomo Corporation of America for \$524,052.40 - Miscellaneous Propulsion Parts for 1200 Series Highliners
6	1/19/2007	Delay Claim for \$475,065 - Labor Rates Review - Aldridge Electric, Inc. - Metra Contract K25584 - Metra/NCS Signal System Rehabilitation Phase 2
7	1/19/2007	Annual Physical Inventory 2006
8	1/22/2007	Revised Change Order #1 for \$76,109.22 to Contract K43877 with Huff & Huff, Inc.
9	1/22/2007	Review of Account 223-F - Van Buren Street Station
10	1/23/2007	Review of Account E at LaSalle Street Station: 12/6/06
11	1/31/2007	Change Order #3 for \$109,475 - URS Corporation - Contract # K30873 - North Central Service (NCS) Expansion Construction Management
12	2/5/2007	NICTD's Share of 2005 Trackage Expenses on the Metra Electric District (MED)
13	2/14/2007	Union Pacific (UP) Accounting Change Request (ACR) #31
14	2/21/2007	Approval Process - Overpayment of \$4,000
15	2/26/2007	RTA Sales Tax Analysis - 2005
16	3/5/2007	Review of Water Charges - PAL Center, Hazel Crest
17	3/6/2007	Review of Water Charges - Rock Island 49th Street Car Shop
18	3/6/2007	Review of Account F at LaSalle Street Station: 1/17/07
19	3/12/2007	Review of Account G at Chicago Union Station: 1/17/07
20	3/12/2007	Sole Source PO K70093 for EMD Locomotive Engine Block Parts for \$14,248.49
21	3/12/2007	Federal and State Taxes on Fuels
22	3/13/2007	Union Pacific (UP) Deval Fire Costs
23	3/16/2007	Review of Leased Vehicle Payments - Danella Rental Systems - Blanket Purchase Order B54803 and B56807 - Auto Truck, Inc. - Blanket Purchase Order B43139 and B56808
24	3/23/2007	Review of Account C at CUS: 2/14/07
25	3/27/2007	Review of Account 221-G: 2/27/07
26	3/28/2007	RTA Sales Tax Analysis - 2006
27	3/29/2007	Audit for Duplicate Payments - Accounts Payable System Payments
28	4/2/2007	AT&T Phone Circuit with No Usage
29	4/13/2007	Change Order #1 Contract K42983 totaling \$53,073.24 with PB Americas, Inc. - STAR Line Alternatives Analysis
30	4/13/2007	Inventory Test Count Observations at Blue Island, Western Avenue, 47th Street, and KYD Storehouses on April 10-11, 2007
31	4/17/2007	AT&T Phone Circuit with Minimal Usage
32	4/17/2007	Canadian National's (CN) Use of Metra's Milwaukee West Line (MWL) Zone 12 Trackage Between Interlocker B-12 in Franklin Park and Cragin Junction
33	4/24/2007	Change Order #1 Contract K567553 for \$96,905.81 with International Piping - 547 Building Boilers and Generator Replacement
34	4/26/2007	Change Order #1 Contract K56022 for \$13,964.37 with Northwest General Contractor

Audits, Reviews, Analysis & Special Engagements - 2007		
	Date	Review Area
35	4/26/2007	Change Order #1 Contract K43834 for \$917,638 with Illinois Constructors - Cicero Avenue Bridge and Station - NCS Expansion MWD Project 2981
36	4/27/2007	Change Order #1 Contract K56022 for \$13,964.37 with Northwest General Contractor - Wrightwood Phase II Parking - Southwest Expansion New Start Project 2982
37	5/11/2007	Review of Natural Gas Bills - Peoples Gas and Nicor
38	5/11/2007	IFB 88406 Single Bid of \$123,093 from R. Rudnick & Company - Fire Protection Modifications at the Western Avenue Shop
39	5/16/2007	CSX Trackage and Grade Crossing Agreements - Unbilled Costs - Robbins Interlocker and 139th Street Grade Crossing - RID
40	5/18/2007	Billable Expenses to CP Rail for Tower A-5 Operators - \$197,646.47 - Trackage Agreement dated February 19, 1985 as Amended
41	5/22/2007	Change Order #1 K56753 for \$96,905.81 with International Piping Systems - 547 Boilers & Generator Replacement - Approved Amount \$86,143.24
42	5/22/2007	Change Order #4 K27385 for \$109,088.02 with URS Corporation - Design of Belmont Road Grade Separation
43	6/18/2007	Change Order #1 K43345 for \$82,075 - McDonough & Associates - Design & Engineering Services for MED Calumet Station Vendor & Washroom
44	6/18/2007	Unauthorized Charges - May 2007 AT&T Billing
45	6/21/2007	Kronos Timekeeping System Test Results
46	6/25/2007	Change Order #1 for \$395,348 to Contract K56452 for \$500,000 - Design for Signal Interface to ETMS - LTK Engineering Services
47	6/25/2007	International Piping Systems Inc. BPO 56034 for \$800,000
48	6/25/2007	BNSF PSA Charges - Pension, Profit Sharing and Other Post Employment - Benefits for 2005 through 2007
49	6/26/2007	Review of Account C at LaSalle Street Station: 5/15/07
50	6/28/2007	Security Professionals, Inc. BPOs S43886 for \$3,000,000 and K43887 for \$200,000
51	7/6/2007	Change Order #1 for \$174,564 Contract K42985 for \$890,000 - With Parsons Transportation - Union Pacific West Line Alternatives Analysis
52	7/10/2007	Contracts Compliance Audit - Shirley Environmental Testing, LLC - Blanket Purchase Order B44218 for \$287,000 - 11/1/2004 through 12/31/2006 - Blanket Purchase Order B69263 for \$300,000 - 1/1/2007 through 12/31/2008
53	7/12/2007	Contract Modifications #5, 14 and 23 Totaling \$917,637.38 under Contract K43834 for the Cicero Avenue Bridge and Station Project by Illinois Constructors Corporation
54	7/17/2007	Inventory Test Count Observation at Blue Island, Western Avenue, 47th Street, and KYD Storehouses on July 11-12, 2007
55	7/23/2007	Review of Joliet Station: 6/13/07
56	7/25/2007	Metra Requisition #03293 - \$18,274.71 with Lawrence Ricci Industrial Equipment
57	7/27/2007	Change Order #1 for \$47,731.57 to Contract K68130 at Buffalo Grove Station - Boller Construction Company
58	7/31/2007	Metra Requisition #03292 for \$36,500 - Walter Payton Power Equipment
59	7/31/2007	Union Pacific Railroad's (UP) Accounting Change Request (ACR) #34
60	8/1/2007	DMJM Harris - Contract K42984 for \$813,712.59 - Change Order #1 - \$29,374

Audits, Reviews, Analysis & Special Engagements - 2007		
	Date	Review Area
61	8/7/2007	Contract Modifications #1, 2, and 3 to Contract K68673 for \$274,655 with Wabtec Railway Electronics for an Electronic Train Management System
62	8/20/2007	Change Order #1 Contract K43867 for \$198,843.53 with AECOM Consult, Inc. - New Start Ridership Travel Forecast
63	8/23/2007	Change Order #3 to K43506 for \$78,157.66 with T.Y. Lin International for 108th Avenue and 159th Street Roadway Improvements
64	9/6/2007	Credit for \$193,352.16 Relative to CM #4 and CM #13 for Contract K68323
65	9/6/2007	Proposed 2005 Trackage Costs to NICTD - \$4,916,298
66	9/10/2007	Review of New Lenox Station: 7/17/07
67	9/11/2007	Review of Printing, Storage and Delivery of Timetables
68	9/14/2007	2006 NICTD PSA Audit - Estimated Damage of \$2,000,000 to NICTD Railcar in 2006
69	9/19/2007	CSX Trackage and Grade Crossing Agreements - RID - Robbins Interlocker and 139th Street Grade Crossing - Billable Costs - \$15,675.12
70	9/25/2007	Payroll System Review
71	9/27/2007	Contract Modification (CM) #53 to Contract K56753 for \$52,553.29 on 547 Boilers and Generator with International Piping Systems - Approved Amount \$48,654.09
72	9/28/2007	Ticket Inventory at Oak Forest Station
73	10/4/2007	Richton Park Parking Lot Revenue, Expenses and Fund Balances Compilation
74	10/4/2007	Inventory Test Count Observation at Blue Island, Western Avenue, 47th Street, and KYD Storehouses on October 2-3, 2007
75	10/5/2007	Metra Parking Lot Maintenance Agreement - City of Harvey
76	10/8/2007	Review of Oak Forest Station: 8/14/07
77	10/10/2007	Contract Modification (CM) #4 for \$164,710 to Contract K68673 with Wabtec Railway Electronics for an Electronic Train Management System (ETMS)
78	10/12/2007	Change Order #1 to Contract S68567 with WIS International - Station/Train Rider Recount
79	10/12/2007	Change Order #2 to Contract K44128 for \$212,749 with STV, Inc. - System-Wide Facilities & Equipment Maintenance Program Plan
80	10/18/2007	NICTD Trackage Agreement Billable Claims - \$71,655.59
81	10/19/2007	Davis-Bacon Act Compliance Audit - P T Ferro Construction Co. - Joliet Parking Lot Contract #K68396 - \$1,003,892.27
82	10/19/2007	Davis-Bacon Act Compliance Audit - Rasch Construction & Engineering Co. - Bartlett Metra Station Contract #K68323 - \$4,777,600
83	11/1/2007	Review of Roselle Station: 8/15/07
84	11/2/2007	Evaluations of Assumptions - MED Electric Cost Study by NICTD
85	11/6/2007	New Ticket Vending Machine and Additional Zones
86	11/15/2007	Audit of Elevator Maintenance and Service Contract - Sterling Elevator Co. - BPO #B68347 for \$600,000 for 9/1/06 to 8/31/09
87	11/19/2007	Union Pacific Accounting Change Request #35
88	11/30/2007	NICTD Trackage Agreement - Joint Line Billable Claims
89	12/4/2007	Davis-Bacon Act Compliance Audit - R. Rudnick & Co. - Metra 47th Street Sanding Tower Contract #K68557 - \$786,642
90	12/6/2007	NICTD Trackage Rights Agreement - Joint Line Billable Claims

Audits, Reviews, Analysis & Special Engagements - 2007		
	Date	Review Area
91	12/11/2007	Club Car, Inc. - LaSalle Street Porta Bar (Contract R-4018) and Bar Car Service (Contract M-30210) Payments - 2006
92	12/12/2007	Davis-Bacon Act Compliance Audit - International Piping Systems, Inc. - 547 Building Boiler Project Contract #K56753 - \$3,300,000
93	12/18/2007	Audit of Citigroup Center Leases C40113 and CL79103 for 2006 - UST-GEPT Joint Venture, L.P. (UST)
94	12/19/2007	Wisconsin Central (WC)/Canadian National (CN) Dispatching Costs - 2006 - Milwaukee District Zone 12
95	12/20/2007	Results of Ticket Vending Machine Test - 12/11/07
96	12/20/2007	Duplicate Draw Down of ECHO, ACH 08-004 - \$3,167,398